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William B. Wood
Academic-Industrial Interactions

The rationale and mechanisms for public support of research in the physical sciences, mathematics, and engineering are undergoing profound and probably irreversible change. Where once the federal government was essentially the sole source of research funds for universities, the states and industry are becoming significant factors. In an earlier day, the widely held view was that federal sponsorship of basic research would automatically lead to societal benefits, including innovative applications and industrial competitiveness. The belief was more or less valid for the United States at a time when this country was the world's unchallenged leader in technology.

This nation will encounter tough global industrial competition for the foreseeable future. In this circumstance, it is the states rather than the federal government that have been highly innovative. Today, there are 500 state and local high-tech programs in 45 states aimed at improving economic competitiveness.

The recession in 1981 brought hardship and 15% unemployment to the states of the Rust Belt such as Ohio. It was good politics in the Rust Belt to create programs that used state funds to create jobs, to support innovation by small companies, and to facilitate university-industrial collaboration. At least ten different types of programs have been devised, such as research parks, incubators, and provision of venture capital, but the major activities involve university research or industrial extension services. Appropriations are usually leveraged by contributions from industry that may exceed those from the state. An exuberant report from Pennsylvania tells that in the interval from 1983 to 1987, more than 10,500 jobs were created or retained and that $76.6 million in state funds attracted more than $280 million in private investment. Virtually all the colleges and universities (public and private) of Pennsylvania are members of a partnership that interacts with 2500 firms. More than half are small enterprises that could not afford to maintain research activities on their own.

In Ohio several programs foster start-up companies. However, the major emphasis is on future-oriented major industrial-university interactions. At nine Edison Technology Centers, academic researchers work on industrial problems especially relevant to the state. Each center is built around the front of a single set of technologies such as advanced manufacturing, polymer innovation, or welding engineering. Each center has a number of companies and academic institutions as members. More than 500 companies and every university in the state participate.

Activities in New Jersey appear to be unusually well conceived. A $900-million state bond issue provided funds for buildings and equipment. Management of the enterprise is vested in a commission on science and technology whose members include bipartisan legislators, university presidents, and highly competent scientists and engineers. Among the various programs being supported, major emphasis is on advanced technology centers, eight of which are in operation. Among the fields covered are advanced scientific computing, industrial ceramics, biotechnology and medicine, computer aids for industrial productivity, and plastics recycling. In the future, centers for photonics and surface modification may be established.

Texas has created what amounts to a science foundation that will support local basic and applied research. Eligible fields for basic research are mainly in the physical sciences and mathematics, but biological, behavioral, and social sciences are included. The biennial appropriation for basic research is $20 million. The corresponding funds for an Advanced Technology Program are $40 million. Among the fields to be supported are aerospace, biotechnology, manufacturing technology, materials science, and micro-electronics. Proposals will be reviewed by out-of-state scientists and engineers, but final authority resides in a 12-member board whose background is predominantly science and engineering.

The states have initiated many programs aimed at improving their ability to use science and technology. Similarities among the programs abound, but all differ in detail, whether in strategy, tactics, or management. It is too early to judge which will prove best, but indications are that initiatives have been largely successful and that the efforts are expanding.

—Philip H. Abelson